Traceability in Healthcare
Traceability in Healthcare

A key driver in the Healthcare sector for

- Patient Safety
- Preventing counterfeiting
- Enabling correct patient records
- Enabling effective product recalls
- Traceability down to the patient
- Enabling regulatory compliance
- Enhancing business processes (e.g. inventory management, optimized supply chain efficiency, eProcurement)
Many advantages, **BUT**

- Traceability is complex, multi-sectorial and cross border, but it is not always recognized as such.

- Traceability is becoming a necessity, but one that is addressed by an endless number of isolated solutions.

- GS1 Standards can help...
GS1 Members Vision for Traceability in Healthcare

Full, End to End, actionable visibility of finished pharmaceuticals and medical devices in healthcare globally, from Point of Production\(^1\) to Point of Use\(^2\)

- All authentic **items** are identified with the appropriate **GS1 Identification Keys** (e.g. GTIN) and appropriate **Application Identifier** ((AI), e.g. Serial No. AI(21)), if applicable, at point of production
- Supply chain identifiers are associated with the patient and remain with/on items throughout their intended useful life
- All **physical locations** are identified with the appropriate **GS1 Identification Key** (e.g. GLN) across the entire supply chain
- All **patients and care givers**, when in a care giving environment, are identified with the appropriate GS1 identification Keys and appropriate **AI** (AI 8017, 8018, 8019)
- Agreed **master data** is captured and shared (e.g. via GDSN) amongst trading partners
- Agreed **transactional data** is captured and shared (e.g. via business-to-business messaging) amongst trading partners
- Agreed **event data** is captured and shared (e.g. via EPCIS) amongst trusted traceability stakeholders, based on data sharing/security policies

**SO THAT:**

1. The terms production or producer can also mean commercially available, manufacture(r), creation(or), compounding(er)…
2. The terms use or used can also mean consumed, infused, implanted, destroyed
GS1 Members Vision for Traceability in Healthcare

Full, End to End, actionable visibility of finished pharmaceuticals and medical devices in healthcare globally, from Point of Production\(^1\) to Point of Use\(^2\)

SO THAT:

- Items can be \textbf{tracked} (forward / downstream) across the entire supply chain (production to use) in real time
- Items can be \textbf{traced} (backward / upstream) across the entire supply chain (from current location back to the producer) in real time
- Item identification is available for use at patient bedside to ensure the Patient Rights\(^3\) are achievable
- Patients Electronic Health Records (EHRs) are updated with agreed traceability information, including Care Giver identification
- Counterfeit products are detected when entering the legitimate supply chain
- A \textbf{product recall} would be fast, efficient and effective

\(^1\) The terms production or producer can also mean commercially available, manufacture(r), creation(or), compounding(er)…
\(^2\) The terms use or used can also mean consumed, infused, implanted, destroyed
\(^3\) Pharmaceuticals (5): Right patient, right drug, right dose, right route, right time. Medical Devices (8): right device, right location, right time, right condition, right procedure, right anatomic site, right patient, right user
GS1 Global System of Standards Enables Traceability

**IDENTIFY:** GS1 Standards for Identification

<table>
<thead>
<tr>
<th>GLN Global Location Number</th>
<th>GTIN® Global Trade Item Number</th>
<th>SSCC Serial Shipping Container Code</th>
<th>EPC Serialised Global Trade Item Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>GLN</td>
<td>GTIN</td>
<td>SSCC</td>
<td>EPC</td>
</tr>
</tbody>
</table>

**CAPTURE:** GS1 Standards for Automatic Identification & Data Capture

<table>
<thead>
<tr>
<th>GS1 BARCODES</th>
<th>GS1 EPC/RIFD</th>
</tr>
</thead>
<tbody>
<tr>
<td>EAN/UPC</td>
<td>GS1-128</td>
</tr>
<tr>
<td>GS1 DataBar</td>
<td>GS1 DataMatrix</td>
</tr>
<tr>
<td>EPC HF Passive</td>
<td>EPC UHF Passive</td>
</tr>
</tbody>
</table>

**SHARE:** GS1 Standards for Automated Data Exchange

| MASTER DATA: GLN Registry for Healthcare®, Global Data Synchronization Network™ (GDSN®) | TRANSACTIONAL DATA: eCore (eX) | EVENT DATA: EPC Information Services (EPICS) |

**TRACEABILITY**

- Track
- Trace
- Authentication
- Chain of Custody / Ownership
- Returns
- Recalls

The Global Language of Business
Traceability – a definition

“Traceability is the ability to track forward the movement through specified stage(s) of the extended supply chain and trace backward the history, application or location of that which is under consideration.”
Traceability/Visibility

- Where is the product now?
- Where has it been? Who owned it?
- When has it been shipped/delivered/received?
Building blocks for traceability

**Unique identification**
- Products
- Logistics units
- Locations & legal entities
- Etc.

**Links management**
- Physical flow
- Information flow

**Data capture**
- Bar codes
- EPC/RFID

**Data communication**
- Share data
- Retrieve data
Different approaches

- Can the product identification features be verified?
- Can the product be tracked to where it is – or traced from where it has been?
Traceability across borders

Regulatory bodies need to address Public Health issues – one important being counterfeiting of drugs.

Ensuring supply chain security and visibility can help to address this - deviations from a global harmonised approach make implementation much more costly and complex than with global data standards.
1. One up, one down

- Point-to-point information sharing for day to day operations
- Other data on request when necessary to previous actor
2 - Cumulative Tracking (e.g., former California ePedigree)

Traceability data received from all previous upstream chain sources **plus** its additional traceability data, available to the next downstream supply partner.
3 - Central Database

The traceable item source makes its traceability data available (e.g. publishes the data) to a central repository/database maintained by a Third Party/regulatory body.
4 – Distributed Model

Traceability identification keys available in a registry to enable traceability data search - information can be stored anywhere as the registry provides the link and data search mechanism.
EPCIS – sharing information on events

**WHAT** objects are the subject of event?
*Individual objects (SGTIN) or groupings (GTIN + Lot/batch)*

**WHEN** did this event take place?
*Date, time, time zone*

**WHERE** did this event take place?
*GLN of physical location & object’s subsequent whereabouts*

**WHY** did this event take place?
*Business step, Disposition, Source/Destination info*

**All captured in an EPCIS repository**
APEC Roadmap for Global Medical Product Integrity and Supply Chain Security

• 5 year project (Jan. 2013 - Dec. 2017)
• APEC sponsors:
  • APEC Life Sciences Innovation Forum
  • APEC Regulatory Harmonization Steering Committee

• Objective:
  • examine current practices and regulatory requirements
  • develop recommendations to regulators
  • develop training programs which will be made publically available through the APEC website

• **Track & Trace Work Group** (TTWG) has 10 work streams
TTWG – Recommendations

- **Six Recommendations** supporting the APEC goals of regulatory harmonisation and of 10% reduction of supply chain cost:
  - All three **overarching** Recommendations apply irrespective of the geography, economy or regulatory issue being addressed:
    - 1st Recommendation: define clear objective to be achieved
    - 2nd Recommendation: collaborate with stakeholders
    - 3rd Recommendation: recommend the use global data standards (GDS)

- All three **secondary**-Recommendations apply over time as traceability systems are incrementally implemented:
  - Identify
  - Capture
  - Share
First Recommendation

Define clear objectives to be achieved

The solution required by a regulation should be based on the regulatory objective to be achieved

What issue is being addressed?

Drivers
- Supply Chain Visibility
- Product Protection
- Recall Improvement
- Returns, Shipment accuracy and efficiency
- Reimbursement
The dilemma created by lack of clear objectives

- Strategic alignment of stakeholders may not occur – differing interpretations and implementation
- Missed opportunity to leverage global learnings
- Unclear what the solution is addressing and if it will work – too onerous / costly? not addressing the issue?
- Processes become complex
- Timelines may extend
- Costs can increase

**Clear objectives will facilitate the achievement of regulatory needs and costs can be minimized**
Defining clear objectives

• Provides stakeholders certainty so able to focus on task rather than being sidetracked by ambiguity

• Supports development of common approaches/standardisation to issue being addressed

• Leverages committed industry stakeholders who possess the skill, creativity, dedication and tenacity to create appropriate solutions to address the issue
Second Recommendation

Collaborate with stakeholders

A collaboration of the drug supply chain partners and regulators should define the implementation approach (i.e. timing and phasing) and governance model, including data management and privacy.

Collaboration should be ongoing due to the changing and/or evolving nature of the situation.
The dilemma created by lack of collaboration

- Strategic alignment of stakeholders across geographic networks does not occur
- Multiple economies facing common challenges create different approaches for a shared supply chain network
- Disparate and proprietary solutions are implemented
- Processes become very complex
- Timelines extend
- Costs increase

*Without dialog & collaboration, health care costs rise and the patient needs are not met*
Third Recommendation

Recommend the use of global data standards (GDS)

The use of global data standards would enable global interoperable product identification, capture and sharing of data. This may support efficient and cost effective management of the pharmaceutical supply chain globally. This may also facilitate harmonised implementation of regulatory requirements.
Support the objective of APEC to achieve regulatory harmonization

**APEC Ministerial Statement**

"We recognized the contribution that global data standards can make to enhancing supply chain efficiency, and welcomed ABAC’s contribution in this area. As APEC economies further develop data standards frameworks, we encourage officials to explore what more can be done to facilitate **mutual compatibility amongst data standards frameworks, and the compatibility of economies’ frameworks with the use of global data standards.**"

The dilemma created by lack of Global Data Standards

- Multiple economies facing common challenges create different approaches for identification and data exchange
- Disparate and proprietary solutions are implemented and expensive to maintain
- Internal applications that serve several geographic networks require complex logic
- External systems can not be shared across regional boundaries
- Processes become very complex
- Costs increase

Without Global Data Standards, health care costs rise and time to deliver product to the market increases
Measuring the impact of deviations from global data standards

• External serial numbers for China
  - **Issue**: External serial number must be uploaded and downloaded
  - **Solution**: Up/download program for serial numbers, adaptations with mapping tables for data structure (CN-product code did not fit in data structure)
  - **Effort**: Development and testing of up/download program; app. ½ year effort (1.3 man year, 250’000 US$); ongoing effort to download and upload numbers; stock level of serial numbers must be monitored

• Linear barcode on carton level for China
  - **Issue**: linear barcode can not be printed online in required quality, size and speed at packaging line
  - **Solution**: Usage of preprinted (serialized) packaging material
  - **Effort**: 2 step production process increases lead time, stock monitoring of packaging material needed. Exception process compared with normal production: Shanghai production implemented local solution, but is not capable for group supply anymore
Driven by many regulations worldwide

- From Turkey to Argentina, South Korea, USA, Europe, Saudi-Arabia and many other MEMA countries
- Different data base models, but all with the basic data elements

- Product Identifier (GTIN)
- Serial Number
- Expiry date
- Lot/Batch number
Healthcare

**Turkish Pharmaceutical Track and Trace System (ITS)**

ITS was the first successful application of a “Pharmaceutical Track and Trace System” in the world and is designed to track the location of every drug unit to ensure the reliable supply of drugs to patients.

**Challenge**

To ensure and guarantee the reliable supply of legitimate drugs to patients in Turkey. Like most countries, this supply was put at risk by illegal activities that could seriously impact public health and safety.

**Approach**

Turkey developed a Pharmaceutical Track and Trace System and built a centralised repository to monitor drug movement throughout the supply chain. With this central management system in place, the ITS can track and trace a drug from the point of manufacture to the point of dispense by leveraging GS1 identification keys, attributes and barcodes.

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**Results**

- **Reliable and safe supply of drugs to patients**
- **Enhanced ability to combat illicit drug sales, barcode scams and theft**
- **More than $1 billion in annual savings**
- **More than 45 million daily transactions through ITS**
- **Response time is 0.02 seconds per transaction**
- **Sophisticated and efficient recall management**

**Healthcare**

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Turkey – ITS system
Global Traceability Standard for Healthcare (GTSH) - Common themes

- PROCESS Standard
- Defines Traceability: both track & trace
- Defines foundational operational model:
  - one-up / one-down
  - Physical flow of product **has to be** in parallel to flow of info. about product
  - Inputs (e.g. receipt) must be linked to outputs (e.g. dispensing)
  - Parties can have varying roles
  - Business Requirements = Needs
  - Business Rules = control and/or constraints
Traceability in Healthcare Phase I

Global Traceability Standard for Healthcare (GTSH)

GTSH Implementation Guideline
“The purpose of this document is to assist any/all stakeholders in the global healthcare supply chain to implement a traceability system in line with the GS1 Global Traceability Standard for Healthcare (GTSH) utilising the GS1 System of standards...

For products, in scope are all pharmaceutical products and all medical device products. Out of scope is implementation of traceability related to non-medical products supplied to Healthcare providers (e.g. food, information technology), blood and blood products.

For supply chain, the start and end points in scope are from manufacturer of finished goods, including products created in the care facility, throughout the product’s intended useful life.
Implementation of Traceability System - Common Themes

- Implementation from manufacturer to patient takes time (YEARS)
- Multi-project work programme
- Involves all parties
- Focus on solving key issues
- All efforts have lead to improved patient safety
- One size does NOT fit all!
Traceability Adding Value...

“Added Value” – a business term
There are four key areas of “Added Value”:

• Reduce exposure to risk
• Manage and reduce costs
• Increase effectiveness
• Create new opportunities

Specifically, Traceability can assist an organisation to...
1. Reduce exposure to risk

- Assist in: Meeting regulatory requirements
- Assist in: Product Quality and Safety Management
- Assist in: Providing an additional Anti-counterfeiting tool (e.g. product identifier authentication)
- Assist in: Identifying expired product; managing inventory
- Assist in: Making Recalls and/or Withdrawals faster, more accurate and efficient

= Increased Brand protection?
= Increased Patient Safety?
2. Manage and reduce costs

Assist in:

- Optimization of the supply chain
- Operational Planning
- Waste management
- Sustainability
- Efficient logistics

= Return on Investment (ROI)
= Reduce operating costs
3. Increase effectiveness

Assist in:

- **Brand Protection**
- Increased inventory management
- Improving hospital operational processes
4. Creates new opportunities

Visibility means

- Increased Brand protection
- Increased Patient Safety
- Return on Investment
- Reduce operating costs
- Enhanced Customer Service
- Enhanced Patient Experience
And a word on blockchain
What is blockchain?

The word “Blockchain” is used in a variety of ways:

- To refer to technologies that are, basically, “shared ledgers” of data
- As the name of algorithms that help achieve decentralized consensus which validate entries on a ledger
- To refer to a deployed shared ledger system: e.g. “the blockchain”
- A general purpose magic word.
Why is blockchain exciting? Data Sharing.

Most of the excitement about blockchain is really just excitement about the possibility of sharing data across company lines.

- For some entire industries, this is an unfamiliar concept.
- For industries where data sharing is familiar and interesting, blockchain presents an interesting way to discuss it...and to raise awareness of the need for data sharing across organisational silos.
Where does GS1 fit... and where does “blockchain” fit?

Business Applications

- Track & Trace, Anti-counterfeit
- Order-to-cash processes
- Electronic commerce

Identify

Capture

Share

Database or other data sharing technology, Access and User Layers

- Standardized identifiers
- Transaction data
- Visibility event data
- Master Data

Oracle, IBM, Microsoft, Teradata, etc.
Amazon, Google, Bluemix, etc.
EDI Networks, VANs, data pools
Enterprise Access and User Layers

Blockchains are shared, secure, distributed ledger that allows for the exchange of data between parties
GS1 facilitates standards for data and some business applications
GS1 Healthcare aims for harmonization of regulatory requirements across the world

• A global standardized system is needed for “unique” identification numbers to ensure world-wide supply chain compatibility.

• **The result:** Prevent counterfeit drugs entering the market, gain efficiency, have the right product in the right place at the right time, more effective recalls and more...
Thank you
Asante
Shukran
شكراً لك
Shukran
谢謝您
ขอบคุณ
Cảm ơn bạn
Merci
Obrigado
Gracias
Grazie
Danke
Mange tak
Contact Details